

Daniel Paul Carpenter

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Education

Ph. D. Political Science, *The University of Chicago*, June 1996

Dissertation: "The Evolution of Corporate Attachment and Administrative Capacity in Executive Departments, 1862-1932." Committee: John F. Padgett (chair), John Mark Hansen, Bernard Silberman, Andrew Abbott.

Winner of the 1998 *Harold D. Lasswell Award* from the American Political Science Association for the best dissertation in public policy completed in 1996 or 1997.

A.M. Political Science, *The University of Chicago*, June 1991.

M.A. Thesis: "Plato's *Gorgias* and Democratic Rhetoric," Department of Political Science, The University of Chicago, April 1991.

A.B. Honors Government, *Georgetown University College of Arts and Sciences*, Washington, D.C., May 1989, *cum laude*. Passed Honors Comprehensive Examination With Distinction. Senior Thesis: "Psychology and Virtue in Aristotle and Mill."

Graduate of Elk Rapids High School, Elk Rapids, Michigan (1985).

Other Training: Postdoctoral Training Fellowship in Health Policy, University of Michigan School of Public Health, completed June 2000.

Academic Employment

Harvard University: Allie S. Freed Professor of Government (2007 -)
Director, Center for American Political Studies (2006 -)
Professor of Government (2002 - 2007)

University of Michigan: Assistant Professor of Political Science, 1998-2001. Associate Professor of Political Science, 2001-2002; Lecturer, Gerald R. Ford School of Public Policy, 2000-2002; Primary Research Scientist, Center for Political Studies, 2001-2002.

Princeton University: Assistant Professor of Politics, 1996-98

University of Chicago: Morton Grodzins Prize Lecturer, (for "Development of American Political Institutions, 1880-1920"), Spring 1994

Books

Reputation and Power: Organizational Image and Pharmaceutical Regulation at the FDA (Princeton: Princeton University Press, 2010).

The Forging of Bureaucratic Autonomy: Networks, Reputations and Policy Innovation in Executive Agencies, 1862-1928 (Princeton: Princeton University Press, 2001).

Winner, *The 2002 Gladys Kammerer Award* of the American Political Science Association, for the best book on U.S. national policy published in 2001.

Winner, *The 2002 Charles Levine Award* of the International Political Science Association, for the best book on public administration and public policy published in 2001.

Visions of the Republic: Petitions, Associations and Elections in Early America (manuscript under preparation for W. W. Norton's Issues in American Democracy series).

Short Academic Publications (Articles, Chapters and Forthcoming Papers) [Where noted [P], the following were published in accordance with double-blind peer review. Where noted [S], they were published according to single-blind peer review.]

“Bioequivalence: The Regulatory Career of a Pharmaceutical Concept” (with Dominique Tobbell), forthcoming, *Bulletin of the History of Medicine*. [P]

“Approval Regulation and Endogenous Consumer Confidence: Theory and an Analogies to Licensing, Safety and Financial Regulation” (with Justin Grimmer and Eric Lomazoff), forthcoming, *Regulation and Governance* 4 (4) (December 2010). [P]

“Institutional Strangulation: Bureaucratic Politics and Financial Reform in the Obama Administration,” *Perspective on Politics*, 8 (3) (September 2010), 825-46.

“Early-Entrant Protection in Approval Regulation: Theory and Evidence from FDA Drug Review,” (with Susan Moffitt, Colin Moore, Ryan Rynbrandt, Michael Ting, Ian Yohai, and Evan James Zucker), *Journal of Law, Economics and Organization*, 26 (2) (Fall 2010) [e-published April 2009 at doi: [10.1093/jleo/ewp002](https://doi.org/10.1093/jleo/ewp002)]. [S]

“U.S. Pharmaceutical Innovation in an International Context,” (with Salomeh Keyhani, Steven Wang, Paul Hebert, and Gerard Anderson), *American Journal of Public Health*, 100 (6) (June 2010) 1075-1080; <http://ajph.aphapublications.org/cgi/doi/10.2105/AJPH.2009.178491>. [S]

“Reputation, Information and Confidence – The Political Economy of Pharmaceutical Regulation,” in Daniel Farber and Anne Joseph O’Connell, editors, *Public Choice and Public Law* (Northampton, Massachusetts: Edward Elgar Publishing, 2010).

“Confidence Games: How Does Regulation Constitute Markets?” in Edward Balleisen and David Moss, editors, *Towards a New Theory of Regulation* (New York: Cambridge University Press, 2009). Part of the Tobin Project on Economic Regulation.

“Regulation,” in Michael Kazin, editor, *The Princeton Encyclopedia of Political History* (Princeton: Princeton University Press, 2009).

“A Formal Model of Learning and Policy Diffusion” (with Craig Volden and Michael Ting), *American Political Science Review* 102 (3) (August 2008), 319-32. [P]

“Drug Review Deadlines and Subsequent Safety Problems” (with Evan James Zucker and Jerry Avorn), *New England Journal of Medicine*, 358 (13) (March 27, 2008) 1354-61. [S]

Errata and Corrected Estimates: *New England Journal of Medicine* 359 (1) (July 3, 2008) 95-98.

Initial results presented at the annual meeting of the American Association for the Advancement of Science (AAAS), St. Louis, Missouri, April 2005; also presented at the FDA-NIH Management Conference, College Park, Maryland, May 2005. Selected as one of the 25 most influential research articles of 2008; Robert Wood Johnson Foundation.

“Policy Tragedy and the Emergence of Regulation: The Food, Drug and Cosmetic Act of 1938,” with Gisela Sin. *Studies in American Political Development*, 21 (2) (Fall 2007) 149-180. [P]

“Regulatory Errors with Endogenous Agendas,” with Michael Ting. *American Journal of Political Science* 51 (4) (October 2007) 835-853. [P]

Earlier version distributed as *Robert Wood Johnson Foundation Scholars in Health Policy Working Paper #30*.

“Robust Action and the Strategic Use of Ambiguity in a Bureaucratic Cohort: FDA Scientists and the Investigational New Drug Regulations of 1963,” with Colin D. Moore. In *Formative Acts: American Politics in the Making*, ed. Matt Glassman and Stephen Skowronek, University of Pennsylvania Press, 2007. [Reviewed in *Perspectives on Politics*, September 2008.]

“The Leaning Tower of PISA: Fundamental Problems in Ignorance-Based Theories of State Autonomy,” *Critical Review*, 2007.

“Whose Deaths Matter? Mortality, Identity, and Attention to Disease in the Mass Media,” with Elizabeth Armstrong and Marie Hojnacki. *Journal of Health Politics, Policy and Law*, 31 (4) (Aug 2006): 729-772. [P]

Winner, *the 2007 Eliot Freidson Award for Best Publication*, Medical Sociology Section, American Sociological Association. One of JHPPL’s “Top Papers of 2006.” Finalist, NIHCM Foundation Annual Health Care Research Award.

“Reputation, Gatekeeping and the Politics of Post-marketing Drug Regulation,” *Virtual Mentor* [American Medical Association] 8 (June 2006): 403-406.

Available at: <http://www.ama-assn.org/ama/pub/category/16258.html> (Accessed June 1, 2006).

“A Modest Proposal for Financing Postmarketing Drug Safety Studies by Augmenting FDA User Fees,” *Health Affairs – Web Exclusive* W5-469 (October 18, 2005). [P]

“The Political Logic of Regulatory Error,” with Michael Ting. *Nature Reviews – Drug Discovery*, 4 (10) (October 2005): 819-823. [S]

“The Evolution of National Bureaucracy in the United States,” Chapter Two (pp. 41-71) in Joel D. Aberbach and Mark Peterson, eds., *The Institutions of American Democracy: The Executive Branch* (New York: Oxford University Press, 2005).

Winner [for volume], *the 2006 Richard Neustadt Prize, for Best Reference Work on the Presidency*.

“Protection without Capture: Product Approval by a Politically Responsive, Learning Regulator,” *American Political Science Review* 98 (4) (November 2004), 613-631. [P]

“Gatekeeping and the FDA’s Role in Human Subjects Protection,” *Virtual Mentor* [American Medical Association] 6 (November 2004), URL at: ama-assn.org

“Political Learning from Rare Events: Poisson Inference, Fiscal Constraints, and the Lifetime of Bureaus,” with David Lewis. *Political Analysis* 12 (3) (Summer 2004), 201-232. [P]

“Staff Resources Speed FDA Drug Review,” *Journal of Health Policy, Politics and Law* 29 (3) (June 2004) 431-442.

“Accelerating Approval Times for New Drugs in the U.S.,” with A. Mark Fendrick, *The Regulatory Affairs Journal – Pharma*, 15 (6) (June 2004) 411-417.

“The Political Economy of FDA Drug Approval: Processing, Politics and Implications for Policy,” *Health Affairs* 23 (1) (January/February 2004): 52-63. [P]

“Approval Times for New Drugs: Does the Source of Funding for FDA Staff Matter?” with Dean Smith, Michael Chernew and A. Mark Fendrick, *Health Affairs*, Web Exclusive, December 17, 2003, W3-618-624. (Abstract available in January/February 2004 issue.) [P]

“Friends, Brokers and Transitivity: Who Talks with Whom in Washington Lobbying?” (with Kevin Esterling and David Lazer) *Journal of Politics* 66 (1) (February 2004): 224-246. [P]

“Information and Contact-Making in Policy Networks: A Model with Evidence from the U.S. Health Policy Domain” (with Kevin Esterling and David Lazer), *Rationality and Society*, 15 (4) (November 2003): 411-440. [P]

“Executive Power in American Institutional Development,” (with Keith Whittington), *Perspectives on Politics* 1 (3) (September 2003): 495-513. [P]

Winner of the 2000 Martha Joynt Kumar Award for Best Convention Paper relating to the Presidency delivered at the 1999 American Political Science Association meetings, Atlanta.

“The Multiple and Material Legacies of Stephen Skowronek,” *Social Science History* 27 (3) (Fall 2003): 465-474.

“The Impact of a Celebrity Promotional Campaign on the Use of Colon Cancer Screening: The Case of Katie Couric,” with Peter Cram, A. Mark Fendrick, Sandeep Vijay, and John Inadomi. *Archives of Internal Medicine*, Volume 63 (July 14, 2003): 1601-1605. [P]

“Why Do Bureaucrats Delay? Lessons from a Stochastic Optimal Stopping Model of Product Approval,” forthcoming, Kenneth Meier and George Krause, eds., *Scientific Approaches to Bureaucratic Politics*, (Ann Arbor: University of Michigan Press, 2003).

“Groups, the Media, Agency Waiting Costs, and FDA Drug Approval,” *American Journal of Political Science* 46 (2) (July 2002): 490-505. [P]

Robert Wood Johnson Foundation Scholars in Health Policy Working Paper #21.

Winner, *The Pi Sigma Alpha Award* for Best Paper of the 2000 Midwest Political Science Association annual national convention.

Nominated by the Midwest Public Administration Section for the Robert Durr Award (best methodological paper, 2000 convention).

“The Political Foundations of Bureaucratic Autonomy: A Reply to Kernell” *Studies in American Political Development*, 15 (1) (Spring 2001): 113-122. [S]

“What is the Marginal Value of Analytic Narratives?” *Social Science History* 24:4 (Winter 2000): 653-67.

“State Building through Reputation Building: Policy Innovation and Coalitions of Esteem at the Post Office, 1883-1912,” *Studies in American Political Development* 14 (2) (Fall 2000): 121-55. [P]

“Stochastic Prediction and Estimation of Nonlinear Political Durations: An Application to the Lifetime of Bureaus,” in *Political Complexity*, ed. Diana Richards, (Ann Arbor: University of Michigan Press, 2000).

“From Patronage to Policy: The Centralization Campaign in Iowa Post Offices, 1880-1910,” *Annals of Iowa* (Summer 1999). [P]

“The Strength of Weak Ties in Lobbying: Evidence from Health Care Politics in the United States,” with Kevin Esterling and David Lazer. *Journal of Theoretical Politics*, 10 (4) (October 1998), 417-44. [P]

“Centralization and the Corporate Metaphor in Executive Departments, 1880-1928,” *Studies in American Political Development*, 12 (1) (Spring 1998): 106-147. [P]

“Adaptive Signal Processing, Hierarchy, and Budgetary Control in Federal Regulation,” *American Political Science Review*, 90 (2) (June 1996): 283-302. [P]

Winner of *The Herbert Kaufman Award* for Best Paper presented in the Public Administration Section, American Political Science Association annual meetings, August 1992, Chicago.

Winner of the *Best Paper Award*, Executive Politics Section, American Political Science Association annual meetings, August 1992, Chicago.

Published Commentary and Other Publications [not academically edited]

“Why Consumers Can’t Trust the Fed,” *New York Times*, March 17, 2010.

“How to Restore Consumer Confidence,” *Boston Globe*, September 9, 2009.

“Some Thoughts on the Relationship between Model and Narrative,” *Qualitative Methods*, APSA Qualitative Methods Section Newsletter, Summer 2007.

“Anvil or Wellspring? The Use of Narrative in Congressional Studies,” *Extension of Remarks*, Fall 2001.

Published Reviews

“Forcing Pharmaceutical History into Boxes,” review of Parrish, *Defining Drugs*; C. Scott Harrison, *The Politics of the International Pricing of Prescription Drugs*; Stephen Ceccoli, *Pill Politics*; Arthur Daemrich, *Pharmacopolitics*; *Journal of Health Politics, Policy and Law*, August 2006.

“What Politics May Be Telling Us about Cost-Effectiveness Analysis,” review of Peter Neumann’s *Using Cost-Effectiveness Analysis to Improve Healthcare*, for *Health Affairs*, July/August 2005.

“A Polemic for Why Markets Need Cops,” review of Philip Hilts’ *Protecting America’s Health: The FDA, Business, and One Hundred Years of Regulation*, for *Health Affairs*, September/October 2003.

Review of David Epstein and Sharyn O’Halloran, *Delegating Powers*, for *Perspectives on Politics*, 1 (1) March 2003.

“Lesson, Method, Portraiture and Myth: Richard Bense’s *The Political Economy of American Industrialization*,” published on the University of Virginia’s Miller Center for American Political Development [www.americanpoliticaldevelopment.org].

Review of Courtney Brown, *Serpents in the Sand: Essays on the Nonlinear Nature of Politics and Human Destiny*, for *American Journal of Sociology*, 86 (3) (1996): 286-288.

Working Papers & Manuscripts Under Review

“Gender and Recruitment by Petition: Women Canvassers in Antislavery Petitioning, 1833-1847,” with Colin Moore and Theda Skocpol. Columbia University American Politics Workshop, March 2008.

“How Political Control of Bureaucracy Affects Social Outcomes: Deadlines and FDA Drug Review,” with Jacqueline Chattopadhyay, Susan Moffitt and Clayton Nall. Under review. [P]
Robert Wood Johnson Foundation Scholars in Health Policy Working Paper #35.

“Are Close Elections Randomly Determined?” with Brian Feinstein, Justin Grimmer and Eitan Hersh, presented at the annual meetings of the Midwest Political Science Association, April 2010.

“Representation at a Visual Interface: Institutions as Encounters between Early American Government and Her Citizens,” paper presented at the APSA-India Tocqueville Project Meeting, Shimla, India, May 2, 2008. Drafted and under review, August 2009.

“Raising Red Flags: Bureaucratic Learning and Postmarket Safety Alerts at the FDA,” with Susan Moffitt, Jacqueline Chattopadhyay, and Clayton Nall; presented at the annual meetings of the Midwest Political Science Association, April 2010.

“The Trouble with Deadlines,” with Justin Grimmer. Under review [P].

“Public Infrastructure and Political Mobilization: The Erie Canal and Anti-Slavery Petitioning, 1835-1839,” with Colin Moore and Clayton Nall.

“Recruitment by Petition: Evidence from the Abolitionists’ Congressional Campaign,” presented at the Yale Conference on Crafting and Operating Institutions, April 2003; presented at the Stanford University American Empirical Seminar, December 2003; presented at the Stanford GSB Organizations Seminar, January 2004; presented at the UCSD American Political Institutions and Policy Workshop, May 2004.

“A Simple Model of Placebo Learning with Self-Remitting Diseases.” Earlier versions presented at Harvard Medical School, for the RWJ Core Seminar in Health Policy, March 2004. Presented at the Center for the Advanced Study in the Behavior Sciences, Stanford, California, May 2004; Regulatory Policy Program, Kennedy School of Government, November 2005.

“The Calculus of Patient Advocacy in FDA Drug Review: A Model and a Narrative.” Presented at the Annual Meetings of the American Political Science Association, September 2006.

Nominated by the Qualitative Methods Section for the Pi Sigma Alpha Award for Best Paper of the 2006 Convention. Nominated by the Qualitative Methods Section for the Sage Paper Award for the Best Qualitative Methods Paper of the 2006 APSA Convention.

“Fundamental Problems in Linear Statistical Analyses of Drug Approval Times on Simple Policy Indicators,” with Danyank Karl Lok and Aaron R. T’joa. *Robert Wood Johnson Foundation Scholars in Health Policy Working Paper #33.*

“A Theory of Approval Regulation,” with Michael Ting.

“Merit Reform, Networks and Bureaucratic Recruitment: The U.S. Department of Agriculture, 1880-1920.” Under revision.

Winner of The Herbert Kaufman Award, for Best Paper presented in the Public Administration Section of the American Political Science Association annual meetings, August 1994, New York.

Monographs, Incomplete Essays and Papers under Preparation for Review

“Mass Petitioning as Institutional Subversion: Some Evidence from Anti-Slavery Organizing in the Antebellum American Republic.”

“Reputation and Government Behavior,” with George Krause. Presented at the 2009 meetings of the Midwest Political Science Association, Chicago, Illinois, April 2009.

“Networks, Scientific Ideology and Administrative Practice: The Sequential Careers of Frances Kelsey and the Premises of the Modern Pharmaceutical World.”

“A Theory of Approval Regulation,” with Mike Ting. Presented at the annual meetings of the Midwest Political Science Association, Chicago, Illinois, April 2002; presented at the Political Economy Seminar, Stanford GSB, March 2004; presented at the Industrial Organization workshop, Department of Economics, Harvard University, March 2004.

“Ambiguity and Commitment in Approval Regulation,” with Stephen Callander.

“A General Model of Placebo Learning: How More Patient Options Can Heighten Bias and Reduce Welfare.”

“Why Do Larger Firms Receive Quicker Drug Reviews?” with Brian Feinstein, Colin Moore, Marc Turenne and Evan James Zucker. Presented at the Harvard-MIT Workshop in Positive Political Economy, March 2001.

“Who Should Pay for Property Rights? A New Theory of Progressive Taxation.”

“The Normative Basis of Privacy in the Republican Political Tradition.”

“Legacy, Pivotal Agency and the Narrative Method: Lessons from the Revival of Political Development,” contracted to *Annual Review of Political Science*.

“Optimal Stopping with Memory Constraints: A Note.”

“The Non-Neutrality of Organizational Memory: Optimal Stopping by Teams with Poisson Forgetting.”

“Networks, Professions and the Social Capital of Bureaucracy: Collaboration and Associations at the USDA, 1890-1930,” presented at the annual meetings of the International Social Networks Association (INSNA), Barcelona, Spain, May 1998.

“State Regulation as a Network Adoption Process: A Reanalysis of Stigler’s (1971) Trucking Data,” paper presented at the 1996 annual meetings of the Midwest Political Science Association, Chicago, Illinois.

Fellowships and Research Awards

Fellow, *John Simon Guggenheim Memorial Foundation*, 2007-2008.

Fellow, *Radcliffe Institute for Advanced Study*, Harvard University, Cambridge, Massachusetts, 2007-2008.

The 2007 Eliot Freidson Award for Best Publication, Medical Sociology Section, American Sociological Association. With Elizabeth Armstrong and Marie Hojnacki.

The 2006 Richard Neustadt Award for Best Reference Work on the Presidency, for *Institutions of American Democracy: The Executive Branch*. [shared] (Daniel Carpenter, "The Evolution of National Bureaucracy in the United States," Chapter Two; edited by Joel Aberbach and Mark Peterson.)

Robert Wood Johnson Foundation Investigator Award in Health Policy Research, 2004-2006, for "Reputation and Regulation: A Study of Pharmaceutical Policy at the FDA."

Fellow, *Center for Advanced Study in the Behavioral Sciences*, Stanford, California, 2003-2004

The 2002 Gladys Kammerer Award of the American Political Science Association, for the best book on U.S. national policy published in 2001 (*The Forging of Bureaucratic Autonomy*).

The 2002 Charles Levine Award of the International Political Science Association, for the best book on public administration and public policy published in 2001 (*The Forging of Bureaucratic Autonomy*).

The 2001 Pi Sigma Alpha Award, for Best Paper of the 2000 Midwest Political Science Association convention, for "Groups, the Media, and Agency Waiting Costs: The Political Economy of FDA Drug Approval."

Martha Joynt Kumar Award for Best Convention Paper on the Presidency Delivered at the 1999 American Political Science Association Meetings, for "Institutional Change in a System of Separated Powers," with Keith Whittington.

Robert Wood Johnson Foundation Scholar in Health Policy Research, 1998-2000, in residence at the University of Michigan.

The 1998 *Harold D. Lasswell Award* of the American Political Science Association, for the best dissertation on public policy completed in 1996 or 1997.

The Herbert Kaufman Award, for Best Paper presented in the Public Administration Section of the American Political Science Association annual meetings, August 1994, New York, NY; for "The Structural and Institutional Foundations of Bureaucratic Culture: Corporate Attachment at the U.S. Department of Agriculture, 1900-1932."

Brookings Institution Research Fellowship in Governmental Studies, Academic Year 1994-1995, Washington, D.C.

Alfred D. Chandler Dissertation Fellowship, Harvard University (Graduate School of Business, Department of History, Department of Economics), Autumn 1994.

Andrew Mellon Dissertation Fellowship, 1993-1994 Academic Year.

The Herbert Kaufman Award, for Best Paper presented in the Public Administration Section of the American Political Science Association annual meetings, August 1992, Chicago, IL; for "Presidential Budgetary Influence in Federal Regulation: The Phenomenon of Loose Control."

Best Paper Award, Executive Politics Section, American Political Science Association annual meetings, August 1992, Chicago, IL; for "Presidential Budgetary Influence in Federal Regulation: The Phenomenon of Loose Control."

University of Chicago Full Fellowship, 1989-1993, awarded April 1989.

Alpha Sigma Nu, Jesuit National Honor Society, Georgetown University chapter, Member (1987-89) and President (1988-89).

Georgetown University Department of Government Award, May 1989, given to the outstanding senior in the undergraduate Honors Government Program.

Research and Teaching Grants

Edmund J. Safra Center for Ethics, “Clearinghouse Institutions for Conflict-of-Interest Issues in Medical Products: A Theoretical, Empirical and Policy Study.” Principal Investigator; with Lisa Lehmann, M.D, Eric Campbell, M.D., Steven Joffe, M.D. and Isaac Kohane, M.D (all Harvard Medical School); \$ 298,962.76 for 24 months (September 2010-August 2012).

Alfred Sloan Foundation and *Russell Sage Foundation* (joint Alfred P. Sloan Foundation/Russell Sage Foundation Working Group on Consumer Finance and Behavioral Economics), “Toward a Consumer Choice Engine for Insurance Products,” and “Linking Risk Databases to Consumer Choice Engines: Toward Product Identifiers for Financial Informatics” (PI); with Tom Baker (University of Pennsylvania Law); \$67,000 (July 2010-July 2011).

The Tobin Project on Economic Regulation, “Capture-Proofing Economic Regulation” (with Steve Croley (University of Michigan Law) and David Moss (Harvard Business School)).

National Endowment for the Humanities, “We the People” Challenge Grant for the *Harvard University Initiative on the American Republic*: \$875,000 (July 2007 to July 2011); \$3,500,000 with matching. Principal Investigator. [Note: I am receiving neither salary support nor research support from this grant.]

CVS/Caremark Foundation, “Adherence to Medication Regimens: An Interdisciplinary Study,” \$3,200,000 (September 2009 to September 2012), Co-Principal Investigator. Principal Investigator: William Shrank, M.D., Harvard Medical School. [Note: I am receiving data access, and neither salary support nor research support, from this grant.]

The Tobin Project on Economic Regulation, “How Regulation Makes Markets: The Political Economy of Pharmaceutical Governance in the United States,” Summer 2009.

Robert Wood Johnson Foundation Investigator Award in Health Policy Research, for “Reputation and Regulation: A Study of Pharmaceutical Policy at the FDA”: \$275,000 (July 2004 to January 2007).

National Science Foundation, SES-0351048, for “Collaborative Research on Competition, Dynamism and Endogeneity in Agenda-Setting: An Analysis of Public Attention to Disease,” (with Elizabeth Armstrong and Marie Hojnacki), January 2004: \$425,227 for 24 months.

National Science Foundation, SES-0076452, for “Formal and Empirical Analyses of Bureaucratic Delay: The Case of FDA Drug Review,” May 2000: \$221,283 for 24 months.

Price Waterhouse Coopers Endowment for the Business of Government, Research Grant, April 2000. For “Technology, Politics and Organizational Culture: The Acceleration of Drug Approval at the FDA.”

Spring/Summer Research Grant Program, Rackham School of Graduate Studies, University of Michigan, April 2000, for “Organizational Learning and the Timing of Bureaucratic Decisions: The Political Economy of FDA Drug Approval.”

Robert Wood Johnson Foundation Scholar in Health Policy Research, 1998-2000, in residence at the University of Michigan.

Princeton University Committee on Research in the Humanities and Social Sciences, 1996, 1997; Center for Domestic and Comparative Policy Studies, Princeton University, 1997.

State Historical Society of Iowa, 1997. “Cultural and Technological Change in Iowa Post Offices, 1900-1920: Sources and Implications.”

Teaching and Curriculum Awards

Spring 2010: Harvard Committee on Undergraduate Education (CUE) Overall Professor Rating of 4.83 (out of 5) for “The Politics and Behavior of Bureaucratic Organizations.”

Fall 2009: Harvard Committee on Undergraduate Education (CUE) Overall Professor Rating of 5.0 (out of 5) for “The Early Development of American Political Institutions, 1650-1860.”

Spring 2005: Harvard Committee on Undergraduate Education (CUE) Overall Professor Rating of 4.5 (out of 5) for “The Theory and Practice of Republican Government.” Letter of Commendation from FAS Social Sciences Dean, July 2005.

Princeton University 250th Anniversary Fund for Innovation in Undergraduate Education, April 1997 (joint proposal with Howard Rosenthal). University grant for development of computer program interface allowing undergraduate political science students to access and analyze historical data on congressional voting, budgetary politics, bureaucratic development, union membership and the like.

Princeton University 250th Anniversary Fund for Innovation in Undergraduate Education, May 1996 (joint proposal with Howard Rosenthal).

Morton Grodzins Prize Lecturer, 1993-94 Academic Year, Department of Political Science, The University of Chicago; for “The Development of American Political Institutions.”

Teaching Interests and Experience [selected]

The Theory and Practice of Republican Government (Harvard University Core Course, Spring 2005, Spring 2007, Spring 2009, Fall 2010). Website at (<http://people.bmdc.harvard.edu/~dcarpent/repgovt.htm>).

- Spring 2005 enrollment: 56 students. Overall course rating: 3.83 [1-5 scale]. Overall professor rating: 4.50 [1-5 scale].
- Spring 2007 enrollment: 56 students. Overall course rating: 3.91 [1-5 scale]. Overall professor rating: 4.13 [1-5 scale].
- Spring 2009 enrollment: 30 students. Overall course rating: 3.7 [1-5 scale]. Overall professor rating: 4.1 [1-5 scale].

Bureaucratic Politics: Government, Economic, Social and Military Organizations (Undergraduate course, Harvard University: Fall 2006, Spring 2009, Spring 2010, Spring 2011). Website at (<http://people.bmdc.harvard.edu/~dcarpent/burpols/burpols.htm>).

- Fall 2006 enrollment: 25 students. Overall course rating: 4.2 [1-5 scale]. Overall professor rating: 4.4 [1-5 scale].
- Spring 2009 enrollment: 20 students. Overall course rating: 3.8 [1-5 scale]. Overall professor rating: 4.3 [1-5 scale].
- Spring 2010 enrollment: 16 students. Overall course rating: 4.56 [1-5 scale]. Overall professor rating: 4.83 [1-5 scale].

The Early Development of American Political Institutions and Organizations, 1650-1860 (Harvard University Junior Seminar), Spring 2003, Fall 2009, Fall 2010.

- Fall 2009 enrollment: 5 students. Overall course rating: 4.8 [1-5 scale]. Overall professor rating: 5.0 [1-5 scale].

Introduction to American Politics (Spring 1997 @ Princeton University to Winter 2002 @ University of Michigan). Spring 2006, Harvard University: Government 30 [Course web page: people.hmdc.harvard.edu/~dcarpent/govt30webhome.html].

Most recent enrollment: 375 students (Winter 2001)

Course Web Pages: (<http://www.princeton.edu/~pol328/pol220.html>. Web page no longer active.

(<http://www-personal.umich.edu/~dancarp/ps111.html>. Web page no longer active.

The Political Economy of Government Regulation (Fall 2001, University of Michigan; Spring 2006, Harvard University) (<http://people.hmdc.harvard.edu/~dcarpent/gregclass.htm>). I have designed and maintained this course home page; now at Harvard.

The Politics and Behavior of Bureaucratic Organizations, Graduate Course, Department of Government, Harvard University, Fall 2004, Fall 2006, Fall 2008.

Graduate Seminar in the Development of American Political Institutions, Winter 2001, Spring 2007.

Regulation and Bureaucracy in America, Princeton University Junior Workshop, Fall 1995, Fall 1996.

Development of American Political Institutions, 1865-1945

(<http://www-personal@umich.edu/~dancarp/ugapd/ugapd.htm>) (Web page no longer active.)

Graduate Seminar in Bureaucratic Politics, Princeton University, Spring 1998.

Graduate Introductory Seminar in American Politics

The Political Environment of Policy Analysis, Fall 2000, Fall 2002

Stochastic Models of Political Economy, Winter 2002. Possible Spring 2007, Harvard.

Frontiers in American Politics (Harvard University Graduate Seminar), Spring 2003.

Other Teaching Interests:

Regulatory Politics and Policy

Probability Theory/Stochastics

Organization Theory

Budgetary Politics and Policy

Dissertation Committees and Graduate Collaborators/Advisees

Steven Croley (Princeton University; now University of Michigan Law School)

Sanford Clark Gordon (Princeton University; now New York University)

Susan Moffitt (University of Michigan; now Assistant Professor of Political Science, Brown University)

Marek Steedman (University of Michigan; now Carleton College)

Michael Duenes (University of Michigan)

Patricia Keenan (Health Policy, Harvard University; now Yale University School of Medicine)

Shanna Rose (Political Economy, Harvard University; now SUNY-Stony Brook)

Ryan T. Rynbrandt (University of Michigan; now Collin County Community College, Texas)

Michael Minta (University of Michigan; now Washington University, St. Louis)

Jerry Woonsong Kim (Harvard Business School; now Columbia University School of Business)

Liam Schwartz (Harvard University; now Harvard University)

Mikhail Pryadilnikov (Harvard University)
Colin Moore (Harvard University, chair; now RWJF Scholars in Health Policy Program, University of California, Berkeley)
Brian Feinstein (Harvard University, chair; now Harvard Law School)
Justin Grimmer (Harvard University; now Assistant Professor of Political Science, Stanford University)
Eric Lomazoff (Harvard University, chair)
Clayton Nall (Harvard University)
Jacqueline Chattopadhyay (Harvard University)
Laurence Tai (Harvard University, chair)
Chris Carrigan (Harvard University, chair)
Sam Rosenberg (Department of History, Harvard University)

Department Activities/Service [selected]

Search Committees, Department of Government, Harvard University: American Politics (Fall 2002), Political Psychology (Spring 2004 - present), Berens Chair in American Political Traditions and Institutions (chair, 2006-2008), Department of History (2006), American Politics Senior Appointments (2006-2009).

Review and Promotion Committees, Department of Government: Political Theory (2009), American Politics (2004-2005).

Director of Graduate Studies, Department of Government, Harvard University (July 2004-2006)

Member and Fellow, Institute for Quantitative Social Sciences (IQSS), Harvard University, July 2002-present.

Chair, American Political Development Search Committee, Department of Political Science, University of Michigan, 2001-2002.

Center for Political Studies Advisory Committee and Center for Political Studies Review Committee, Institute for Social Research, University of Michigan, 2001-2002

Political Science Strategic Plan Drafting Committee, University of Michigan, 2001-2002

Graduate Placement Coordinator, Department of Political Science, University of Michigan, 2000-2002

Chair, American Politics Subfield, Department of Political Science, University of Michigan, Fall 2000, Fall 2001.

University Activities/Service [selected]

Director, Center for American Political Studies (CAPS), Harvard University, (July 2006-present).
Executive Steering Committee, July 2002-July 2006.

Founder and Co-Director, Harvard University Program on Medications and Society (MEDSOC), 2007-

Social Science Priorities Working Group, appointed by Dean of Social Sciences Stephen Kosslyn, Faculty of Arts and Sciences, 2009.

Chair, Executive Committee for Dean's Space, Center for Government and International Studies, 2009-2010.

Social Science Advisory Council (SSAC), advisory council to Divisional Dean David Cutler, Faculty of Arts and Sciences, Harvard University (2004-2007).

Administrative Board, Charles Warren Center for North American History, 2008-

Undergraduate Program Coordinator, Health Policy Certificate Program, Harvard University (May 2004-2007).

Member, Standing Committee on Health Policy, Harvard University, 2003-present.

Member, Standing Committee on Political Economy, Harvard University, 2003-present.

Search Committee, Department of History, Harvard University; Targeted American History Search, Fall 2005.

Professional and Civic Activities/Service [selected]

Co-Editor, *Studies in American Political Development* (2007-present). [Other Editors: Elizabeth Clemens and Scott James]

Editorial Boards: *Political Science Quarterly* (2009-present); *Journal of Politics* (2004-present); *Studies in American Political Development* (2003-2007); *Governance* (2000-present), *American Politics Research* (2003-present); *International Public Management Journal* (2005-present); *Regulation and Governance* (2006-present)

Member: American Political Science Association, Midwest Political Science Association, Organization of American Historians, American Sociological Association, Society for History of the Federal Government.

National Advisory Committee, Robert Wood Johnson Foundation Center for Health Policy at the University of New Mexico, 2008-.

Behavioral Economics and Consumer Finance Working Group, Russell Sage Foundation and Alfred P. Sloan Foundation (2009-).

Consumer Finance Working Group, National Bureau of Economic Research (2009-).

Regulatory Innovation Award Committee, Morrison & Forster LLP, 2010.

Member, University Faculty for Life (2006-); Trout Unlimited (1996-).

Member and Secretary, Parish Pastoral Council, St. Joseph Parish, Belmont, Massachusetts (2006-2009).

National Academy of Sciences, Committee on Postal Reform and Reorganization, 2008.

Amicus Curiae Brief to U.S. Supreme Court, *Wyeth v. Levine*, 2008-2009 Term.

Richard W. Leopold Prize Committee, Organization of American Historians, May 2006 – May 2008.

President, Midwest Public Administration Caucus, 2008-2009.

Chair, Politics and History Section, Midwest Political Science Association Annual Convention, Spring 2005.

Charles H. Levine Award Committee for the best book in the field of public policy and administration, Summer 2003.

Chair, Public Policy Section, Midwest Political Science Association Annual Convention, Spring 2003.

Nominating Committee, Social Science History Association (2002-2003).

Leonard D. White Award Committee for best dissertation in public administration (2000-2001).

Chair, Politics and History Section, American Political Science Association, APSA Conference 2000, with Professor Gerald Gamm of the University of Rochester.

Chair, Methods and Theory Section, Social Science History Association (SSHA) Conference 1999.

Council Member, Section on Politics and History, American Political Science Association, 1997-1999 (with Kenneth Finegold, Gerald Gamm and Elaine Swift).

Manuscript reviewer for *American Political Science Review*; *Studies in American Political Development*; *American Journal of Political Science*; *World Politics*; *Political Analysis*; *Journal of Politics*; *American Sociological Review*; *New England Journal of Medicine*; *Journal of the American Medical Association*; *Journal of Theoretical Politics*; *British Journal of Political Science*; *Governance*; *Journal of Health Politics, Policy and Law*; *Social Studies of Science*; *Public Opinion Quarterly*; *Health Affairs*; *Journal of Policy Analysis and Management*; *Journal of Public Administration Research and Theory*; *Journal of Law, Economics and Organization*; *Journal of Public Economics*; *Journal of Law and Economics*; *Journal of Health Economics*; *American Politics Research*; *Review of Industrial Organization*; *International Public Management Journal*; *Public Administration*; University of Michigan Press; Princeton University Press; Yale University Press; Cambridge University Press.

Proposal and report reviewer for National Science Foundation, Institute of Medicine, Center for Advanced Study in the Behavioral Sciences, Israel Science Foundation, Netherlands Organization for Scientific Research, Robert Wood Johnson Foundation, Radcliffe Institute for Advanced Study, Alfred W. Sloan Foundation.

Invited Lectures and Presentations (selected)

“Regulators, the Regulated, and Third Parties: Incentives and Surprises”; “The Behavioral and Institutional Dimensions of Meta-Data: How Product Identifiers and Choice Engines Can Make Government Data Useful for Citizen Choice”; White House Office of Science and Technology Policy (OSTP), August 2010.

“Approval Regulation and the Endogenous Provision of Confidence: Theory and an Analogies to Licensing, Safety and Financial Regulation.” With Justin Grimmer and Eric Lomazoff. Presented at University of Pennsylvania Law School, October 2009; presented at Conference on Consumer Finance, Cleveland Federal Reserve Bank, September 2009; presented at RWJF Health Policy Seminar, University of Michigan, December 2009.

“Institutional Strangulation: Regulatory Politics and Financial Reform in the Obama Administration,” Oxford University, Nuffield College, March 2010.

- “Bioequivalence: The Regulatory Career of a Medical Concept,” presented at the Pharmacy in History Conference, American Institute for the History of Pharmacy, October 2008; presented at the Science, Technology and Public Policy Program of the University of Michigan, December 2009.
- “Reputation and Power Contested: Challenges to the FDA from Cancer and AIDS Constituencies, 1977-1992,” University of Michigan Law School, December 2009.
- “Approval Regulation: Lessons for Consumer Protection in Finance,” Federal Reserve Bank of Cleveland, September 10-11, 2009.
- “Gender and Recruitment by Petition: Women Canvassers in Antislavery Petitioning, 1833-1847,” Columbia University American Politics Workshop, March 2008.
- “Confidence Games: How Does Regulation Constitute Markets?” The Tobin Project Group on Economic Regulation, Inaugural Conference at White Oak, *Toward a New Theory of Economic Regulation*, February 2008.
- “Gatekeeping and the Faces of Regulatory Power: Law, Rules, and Concept Formation in the Government of Pharmaceuticals, 1960-1980,” Vanderbilt Conference on the Historical Foundations of the Administrative State, Vanderbilt University Law School, September 2007.
- “The Continuous Crystallization of an Organizational Reputation: The FDA in Public, Scientific and Congressional Audiences,” plenary session, Conference on Risk and Its Regulation, Center for the Analysis of Risk and Regulation (CARR), London School of Economics, London, March 2007.
- “Reputation and Regulation: Beyond Public and Private Interest Approaches,” keynote address and book manuscript workshop, European Consortium for Political Research (ECPR), Bath, United Kingdom, September 2006.
- “User Fees and FDA Drug Review: Analysis and Policy Options,” presented to the FDA-Maryland Executive Education Program, June 2005; FDA Regulatory and Compliance Symposium, Harvard University, August 2006; Scientific Knowledge and Public Policy Program (SKAPP) conference on “Strengthening the FDA,” George Washington University, March 2007.
- “The Pure Food and Drugs Act of 1906 and Its Legacies.” Presented at the FDA Centennial Science Forum, Washington Convention Center, Washington, D.C., April 2006.
- “The Emergence and Crystallization of an Organizational Identity: Pharmaceutical Regulation at the FDA, 1947-1963.” “The Ambiguous Emergence of U.S. Pharmaceutical Regulation, 1947-1963.” Stanford University Law School, March 2006; Yale American Politics Seminar, January 2006; Rutgers-RWJ Health Policy Seminar, January 2006; Boston University American Political History Seminar, Fall 2005.
- “Regulation and the Framing of Tragedy: The Food, Drug and Cosmetic Act of 1938.” Presented at Princeton University American Political Development Seminar, April 2005.
- “Regulatory Error under Two-Sided Uncertainty. OR, The Political Economy of Vioxx,” BU-Harvard-MIT Health Economics Seminar, February 23, 2005. University of Chicago Health Economics Seminar, April 2005; Kellogg School of Business, Managerial Economics and Decision Sciences, Political Economy Seminar, April 2005.
- “From the Lab to the Pill Bottle: The Reputation and Power of the FDA,” American Medical Association Public Lecture Series, Chicago, Illinois, October 2004.
- “A Simple Theory of Placebo Learning,” RWJ Core Seminar in Health Policy, Harvard Medical School, March 2004; Statistics Seminar, Center for Advanced Study in the Behavioral Sciences, April 2004.
- “Approval Regulation with Endogenous Submissions: Theory and Evidence from U.S. Pharmaceuticals.” Harvard Economics Industrial Organization Workshop, March 2004; Stanford GSB Political Economy Seminar March 2004.
- “The Petition as a Tool of Recruitment: Evidence from the Abolitionists’ Congressional Campaign.” Yale University Conference on Crafting and Operating Institutions, April 2003; Stanford University American Empirical Seminar, December 2003; Stanford GSB Organizations Seminar, January 2004.

“Early Entrant Protection in U.S. Pharmaceutical Regulation.” American Politics Workshop, Columbia University, February 2003; Yale University [Institute for Social and Political Studies,], February 2003

“Protection without Capture: Product Approval by a Politically Responsive, Learning Regulator,” Michigan State University Methods Workshop, May 2002

“Resources and the Decline in FDA Approval Times,” University of North Carolina, Chapel Hill, April 2002

“Why Do Bigger Firms Receive Faster Drug Approvals?” Harvard-MIT Seminar in Positive Political Economy, March 2001

“The Political Economy of FDA Drug Approval,” Carnegie Mellon University and University of Pittsburgh, February 2000; Pennsylvania State University, February 2000

“Protection without Capture: Product Approval by a Political Responsive, Bayesian Regulator,” Public Management Conference, Texas A&M University, December 1999

Harvard University, Center for the Study of American Politics, October 1999

University of Chicago, May 1998, December 1998, January 1999, March 2000

University of Michigan Institutions Seminar, January 1998

University of Iowa, November 1997

Graduate School of Business, Stanford University, March 1997

California Institute of Technology, March 1997

University of Rochester, February 1997

Selected Research and Employment Positions

Data Analyst for Professor Wayne Baker, Department of Sociology and Graduate School of Business, The University of Chicago, Spring and Summer 1993. Conducted dynamic network analysis of patterns of cooperation among investment banks underwriting loans in seven industries from 1987 to 1993.

Research Assistant for Professor Gary Orfield, 1991. Contributed to plaintiff's brief for the Supreme Court case *Freeman v. Pitts*, investigating the association between residential choice and school racial composition.

Policy Analyst, Office of Policy Development and Research, Department of Housing and Urban Development, Washington, D.C., Summer 1991. Conducted statistical research into federal housing policy using American Housing Survey (AHS) and Multifamily Tenant Characteristics System (MTCS) data.

Budget and Freedom of Information Act (FOIA) Analyst, Communications and Public Affairs Department, Pension Benefit Guaranty Corporation, Washington, D.C., Summer 1988. Merit Award for outstanding work, August 1988.

Languages

Ancient Greek (received “High Pass” for University of Chicago graduate examination), Spanish (reading, some writing), German (two years).